

Technical Update for Broker, DAIMS RSS v2.0.1, and Validation Rules v2.0.2

December 14, 2020

Change Summary

The purpose of this minor technical update of the DATA Act Information Model Schema (DAIMS) and related DATA Act Broker changes is to ensure continued alignment with OMB budget guidance and the Governmentwide Treasury Account Symbol Adjusted Trial Balance System (GTAS). The changes are necessary to bring our treatment of the *AdjustmentsToUnobligatedBalanceBroughtForward_CPE* field in line with GTAS changes to the SF-133, which are effective in FY21. This technical update will change the calculation of *AdjustmentsToUnobligatedBalanceBroughtForward_CPE* in File A, the related validation rule A12, and Broker File A generation to include the additional SF-133 lines in the aggregate calculation, validation, and file generation for this element. No other changes are included in this technical update.

This change will be implemented in the Broker on Thursday, December 17, prior to the beginning of the first Fiscal Year 2021 certification period on Friday, December 18. With this technical update, the current version of the RSS in effect is version 2.0.1 and the current version of the Validation Rules in effect is version 2.0.2.

Change Details

1. Updates aggregate calculation for *AdjustmentsToUnobligatedBalanceBroughtForward_CPE* in RSS File A to include lines 1010 through 1065 from the GTAS SF-133 (captured in the *Instructions* column). Previously, lines 1010 to 1042 were used.
2. Updates validation rule A12 to use GTAS SF-133 lines 1010 through 1065 while maintaining backward compatibility for FY20 and earlier certifications to only use lines 1010 through 1042.
3. Updates Broker File A generation for this element to use lines 1010 through 1065 while maintaining backward compatibility for FY20 and earlier to only use lines 1010 through 1042.

Required Agency Action

Agencies that have not updated their method for calculating *AdjustmentsToUnobligatedBalanceBroughtForward_CPE* based on the FY21 SGL and GTAS guidance will need to do so before submitting their FY21 P01/P02 DATA Act Broker submission. Agencies that rely on the Broker-generated File A will not need to take any special action, other than ensuring they generate a File A for FY21 P01/P02 on or after the Broker update on December 17th.